

## Central New York Chapter Newsletter

### ISACA MEETING ANNOUNCEMENT

"IT Control Weaknesses and the  
SOX Effect"

#### PRESENTER

Jason Wood CPA, CISA, CITP, CIA, MBA  
Of Ernst & Young LLP - Technology and  
Security Risk Services

#### DATE

May 18, 2006

#### TIME

Registration: 11:45 AM –12:00 PM  
Program: 11:00 – 12:00 PM  
Lunch/Networking: 12 – 1:00 PM  
Board Meeting: 1:15 - 2:15 PM

#### COST

Members: \$20  
Non-Members: \$25

#### LOCATION

CORINTHIAN CLUB  
930 James Street  
Syracuse, NY 13203  
315-422-9917

#### PRE-REGISTRATION

by May 12, 2006

Please let Kathleen Wojslaw know if you are  
attending. Contact her at  
315-470-9213 or  
kathleen.wojslaw@crucible.com

*This program provides you with 1 CPE*

### ABOUT THE PROGRAM

"IT Control Weaknesses and the SOX Effect" will review commonly identified IT deficiencies; how the external auditor typically determines the financial reporting severity level (e.g., control deficiency, significant deficiency, and material weakness) for IT deficiencies; and trends with IT 404 assessments and deficiency reporting. The presentation will be interactive and participants should bring examples of deficiencies from their organizations to share at a high level if possible.

### ABOUT THE SPEAKER

Jason Wood is a Manager in Ernst & Young's TSRS practice in Rochester, New York. Jason has over 9 years of global IT audit experience in public accounting and industry. Jason previously worked for another Big Four firm and a global manufacturing Fortune 500 company. Jason has experience in the technology, entertainment, communications, financial services, manufacturing, and telecommunications industries. He is responsible for IT audit engagements in the Upstate New York area. Jason also has experience with various enterprise-wide platforms such as SAP, Oracle, PeopleSoft, and JD Edwards; various operating system environments such as I Series-AS/400, UNIX, Linux, Mainframe, and Windows; and various database environments such as Oracle, DB2, and SQL.

## MAP TO CORINTHIAN CLUB

### TRAVEL DIRECTIONS

To get to the Corinthian Club from I-90, NYS Thruway, use Exit 36, I-81. After the tollbooth turn right onto I-81 South, exit at Clinton/Salina Streets (19), merge left onto N. Salina, turn left on E. Willow, turn right on N. State, then left on James.

To get to the Corinthian Club from I-81 Northbound, take Adams St. Exit (18), go straight onto Almond St., turn left onto E. Genesee St., turn right onto S. McBride St., turn right onto James St.



MAP SHOWS A PART OF SYRACUSE

## *Next ISACA-CNY Meeting*

September 21, 2006 – TBD

## ACTIVE BOARD MEMBERS - CONTACT INFO

<b>Chapter President/Membership Director</b>	Gloria Gibbon, CISA, CIA, CBA, CFSA	gloria.gibbon@pminsco.com
<b>Vice President</b>	Jim Brulé	jbrule@ignitionsys.com
<b>Chapter Secretary</b>	Kathleen Wojslaw, CISA	Kathleen.wojslaw@crucible.com
<b>Chapter Treasurer</b>	Jim MacLachlan, CISA, CFSA, CIA	jmaclac@syr.edu
<b>CISA/CISM Coordinator ; Education Chair</b>	Dan Viggiano Jr., CISA	dviggian@twcny.rr.com
<b>Website Coordinator</b>	Jim Brulé	jbrule@ignitionsys.com
<b>Board Member</b>	Stephen Klingaman, CISA	KlingaSR@MORRISVILLE.EDU
<b>Board Member</b>	Tim Singletary	Timothy.Singletary@ngc.com
<b>Board Member</b>	Shawn O'Reilly, CISM, CISA	oreillys@upstate.edu

### *From Your ISACA-CNY Chapter President*

Greetings!

Our upcoming annual meeting planned for May 18<sup>th</sup> will provide an overview of the effects IT control weaknesses have on SOX. We will introduce our new Board at that time prior to breaking for the summer. So please come and join us for this networking opportunity. Also, it is never too late to volunteer and there is always room on the Board for new blood, so if we missed you let us know and we will be glad to include you.

Although we do not meet during the summer months your new Board will be busy planning for the 2006-2007 program year. If you have any speakers or programs in mind, let us know so that we can meet your needs. Reserve September 21 for our next ISACA-CNY program.

Remember, feel free to contact any Board member with your concerns, issues, or program needs. Our Board consists of practitioners, educators, consultants and trainers in both the IT Audit and Information Security environments. We are here to help you succeed.

Don't forget to check our local website at: [www.cnyisaca.org](http://www.cnyisaca.org).

Kindest Regards.

Gloria B. Gibbon, CIA, CISA, CBA, CFSA  
ISACA-CNY Chapter President

## *CISA/CISM News*

Next Exam Date is June 10, 2006

CISA (Certified Information Systems Auditor) is ISACA's cornerstone certification. Since 1978, the CISA exam has measured excellence in IS auditing, control and security. CISA has grown to be globally recognized and adopted worldwide as a symbol of achievement. The CISA certification has been earned by more than 40,000 professionals since inception.

CISM (Certified Information Security Manager) is ISACA's groundbreaking credential earned by over 5,200 professionals in its first two years. It is for the individual who must maintain a view of the "big picture" by managing, designing, overseeing and assessing an enterprise's information security.

**Registration is now open for the June 2006 CISA/CISM Exams.** To view additional details, a list of test sites and a series of FAQs, please visit [www.isaca.org/certification](http://www.isaca.org/certification).

## ***NEWS FROM ISACA NATIONAL***

### **Research Update**

#### **Val IT**

ITGI has released the first deliverables in the Val IT series, a set of publications designed to shed light on realizing value from IT-enabled investments. The first release of Val IT includes:

- Enterprise Value: Governance of IT Investments, The Val IT Framework
- Enterprise Value: Governance of IT Investments, The Business Case
- Enterprise Value: Governance of IT Investments, The ING Case Study

COBIT already provides a comprehensive framework for the management and delivery of high-quality IT-based services. It sets best practices for the means of contributing to the process of value creation. Val IT now adds best practices for the end, thereby providing the means to unambiguously measure, monitor and optimize the returns, both financial and nonfinancial, from investment in IT. Val IT complements COBIT from a business and financial perspective and will help all those with an interest in value delivery from IT.

Val IT is available as a complimentary download from [www.itgi.org](http://www.itgi.org) and for purchase in hard copy from the ISACA Bookstore, [www.isaca.org/bookstore](http://www.isaca.org/bookstore).

#### **Security, Audit and Control Features SAP<sup>®</sup> R/3<sup>®</sup>, 2nd Edition**

Current best practices and future trends in enterprise resource planning (ERP) have been updated from the first edition, published in 2002. This practical, how-to, technical and risk management reference guide enables auditors and risk professionals (IT and non-IT) to evaluate risks and controls in existing ERP implementations, and facilitates the design and building of better practice controls into system upgrades and enhancements. The first to be updated, this is one in a series of technical and risk management reference guides focusing on the world's three major ERP systems: SAP R/3 Audit, PeopleSoft<sup>®</sup> and Oracle<sup>®</sup> Applications. The PeopleSoft update is expected late in the second quarter of 2006, with the Oracle update following in the third quarter. This publication is available at [www.isaca.org/bookstore](http://www.isaca.org/bookstore).

#### **COBIT<sup>®</sup> Mapping Overview of International IT Guidance, 2nd Edition**

CIOs, CFOs, information security managers, auditors, and those involved in corporate and IT governance need a framework to compare international standards and guidance for managing the IT function. This second edition offers a global overview of the following important international standards and guidance for IT control and IT security in relationship to COBIT 4.0: COSO, ITIL<sup>®</sup>, ISO/IEC 17799:2005, FIPS PUB 200, ISO/IEC TR 13335, ISO/IEC 15408:2005, PRINCE2<sup>®</sup>, PMBOK<sup>©</sup>, TickIT, CMMI, TOGAF 8.1, IT Baseline Protection Manual and NIST 800-14. It can serve as a road map to implementing guidance supporting IT governance. For each of the international standards/guidance examined, the document provides a classification, a short overview of the contents, the business driver for implementing the guidance and the risks of noncompliance. This publication is posted for complimentary download at [www.isaca.org/downloads](http://www.isaca.org/downloads).

## ISACA Training

### Online COBIT Foundation Course

This newly-released COBIT course is an introduction to the COBIT framework, featuring real-world examples and case studies. The COBIT Foundation Course focuses on the need for an IT control framework, and it addresses IT governance issues affecting organizations globally. The course explains how to achieve sound IT governance through the implementation of COBIT.

The course provides an overview of the COBIT control objectives, control practices, management guidelines and audit guidelines. Case studies and practical examples help you relate the components of the COBIT Framework to your own organization. The COBIT Foundation Course is offered in a self-paced e-learning format, so you can learn at your own pace and on your own schedule.

#### COBIT Foundation Course modules:

1. Responding to IT Challenges
2. Introducing COBIT
3. What COBIT Provides
4. Applying COBIT in Practice
5. Products and Support Available from ITGI

Learn how to implement COBIT for IT governance, how to use COBIT with other standards, and how to address your most challenging IT issues with COBIT as your IT governance framework.

**Note the current COBIT Foundation course, although developed using COBIT 3rd Edition, is valid and applicable. It will be updated to COBIT 4.0 in the first quarter of 2006.**

### ISACA Training Weeks

Increase your audit, control and security knowledge by attending one of ISACA's most popular training events -- these courses consistently sell out -- be sure to register today for an ISACA Training Week to ensure your attendance!

Training Weeks provide unique educational experiences. If you are an IS/IT audit, control or security professional in need of proven strategies and techniques for meeting the challenges you face every day, join your peers at a Training Week. An accomplished practitioner leads each comprehensive, weeklong training program, and you can earn up to 38 continuing professional education (CPE) hours. Members, be sure to take advantage of early registration deadlines!

#### Upcoming North American Dates/Locations:

- |                              |                                 |
|------------------------------|---------------------------------|
| ➤ 5-9 June 2006              | Philadelphia, Pennsylvania, USA |
| ➤ 28 August-1 September 2006 | Ottawa, Ontario, Canada         |
| ➤ 6-10 November 2006         | Dallas, Texas, USA              |
| ➤ 4-8 December 2006          | Orlando, Florida, USA           |

Program and venue information: [www.isaca.org/trainingweek](http://www.isaca.org/trainingweek)

Registration: [www.isaca.org/twregister](http://www.isaca.org/twregister)

Contact us: [conference@isaca.org](mailto:conference@isaca.org)

## ISACA Conferences

### **North America CACS**

7-11 May 2006  
Orlando, Florida, USA

The North America Computer Audit, Control and Security (CACS™) conference is well known for addressing the complex issues facing professionals responsible for IT audit, information security and governance. New this year, the case studies track will offer real-world applications of the theories and methods presented in the sessions. Also, two new workshops have been added: The Sarbanes-Oxley Symposium and Implementing COBIT for IT Management and Governance. Please advise members to register early—last year's North America CACS event sold out. For more information or to register, please visit [www.isaca.org/nacacs](http://www.isaca.org/nacacs).

### **COBIT User Convention**

22-23 June 2006 (tentative)  
Chicago, Illinois, USA

Specifically designed for users of COBIT, this two-day event features case studies and facilitated discussion groups that address COBIT usage. The first day of the event will focus on case studies, and the second day will focus on user feedback, problem solving, question and answer opportunities, and future solutions. For more information or to register, please visit [www.isaca.org/cobituserconvention](http://www.isaca.org/cobituserconvention).

### **Additional 2006 ISACA Conferences**

- 18-20 September—Information Security Management Conference, Las Vegas, Nevada, USA
- 18-20 September—Network Security Conference, Las Vegas, Nevada, USA
- 28-29 September—COBIT User Convention, Washington DC, USA

## Non-ISACA News

### NEW HORIZONS COMPUTER LEARNING CENTER

New Horizons Computer Learning Center offers a number of 1- and 2-day workshops related to security. These workshops include topics such as **Securing Exchange 2000/2003, Desktop Security, Anti-Virus Defense, Securing the Messaging Client, Securing the Internet Browser, Securing the Wireless Network, and Securing IIS.**